GET TO KNOW REGTECH
How technology can make your regulatory compliance life easier

REGTECH DEFINED
A combination of “regulatory” and “technology,” regtech applies innovative technology to regulatory challenges and cost facing the financial services sector.

*Deloitte:* Regtech’s intentions are to deliver “nimble, configurable, easy to integrate reliable secure and cost-effective” regulatory solutions.

*Financial Conduct Authority (FCA):* The “adoption of new technologies to facilitate the delivery of regulatory requirements.”

Emerged in 2015 and on the rapid rise

WORD ON THE STREET

“*The next big thing*”

“The fastest growing segment of financial technology”

“The new fintech”

REGTECH BENEFITS

“I would define it as technological advancement that assists those focused on compliance and regulatory-related activities in their professions. So making it easier, swifter, more complete, more efficient to monitor compliance and regulatory obligations.”

- Kari Larsen, Counsel at Reed Smith LLP in New York Formerly in the Enforcement Division of the Commodity Futures Trading Commission (Bloomberg BNA)

WORTH THE INVESTMENT

Not sure on an ROI? Ask yourself this:

“What if that RegTech solution could prevent our company from receiving a $60,000,000 fine or from dealing with a regulatory issue involving multi-year global remediation requiring $10,000,000 in consulting fees?”

- John Humphries
  Forbes Finance Council

WHEN CAN YOU USE IT?

Throughout compliance!

- **Before:** Prepare for potential issues by identifying risk
- **During:** Identify and prevent non-compliant conduct
- **After:** Investigate and create audit trails / logs

WHO’S USING IT?

A joint survey by SWIFT and Dow Jones Risk & Compliance revealed that anti-money laundering (AML) professionals are anticipating new risks and challenges.

“More than half (54%) of respondents are planning to increase their investment in RegTech in the next three to five years, as the majority (59%) say technology has improved their company’s ability to tackle AML, KYC and sanctions requirements.”

- Dow Jones Press Room

CONTACT US TO GET TO KNOW MORE ABOUT REGTECH.